



PRIVACY THRESHOLD ANALYSIS (PTA)

**This form is used to determine whether
a Privacy Impact Assessment is required.**

Please use the attached form to determine whether a Privacy Impact Assessment (PIA) is required under the E-Government Act of 2002 and the Homeland Security Act of 2002.

Please complete this form and send it to your component Privacy Office. If you do not have a component Privacy Office, please send the PTA to the DHS Privacy Office:

Rebecca J. Richards
Senior Director of Privacy Compliance
The Privacy Office
U.S. Department of Homeland Security
Washington, DC 20528
Tel: 202-343-1717

PIA@dhs.gov

Upon receipt from your component Privacy Office, the DHS Privacy Office will review this form. If a PIA is required, the DHS Privacy Office will send you a copy of the Official Privacy Impact Assessment Guide and accompanying Template to complete and return.

A copy of the Guide and Template is available on the DHS Privacy Office website, www.dhs.gov/privacy, on DHSCconnect and directly from the DHS Privacy Office via email: pia@dhs.gov, phone: 202-343-1717.



PRIVACY THRESHOLD ANALYSIS (PTA)

SUMMARY INFORMATION

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| Project or Program Name: | Joint City-Port Domain Awareness Center | | |
| Component: | MGMT | Office or Program: | City of Oakland, CA and the Port of Oakland, CA |
| TAFISMA Name: | Click here to enter text. | TAFISMA Number: | Click here to enter text. |
| Type of Project or Program: | Form or other Information Collection | Project or program status: | Development |

PROJECT OR PROGRAM MANAGER

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|----------------|--|---------------|--|
| Name: | Renee Domingo Program Manager | | |
| Office: | City of Oakland, Fire Department, Emergency Management Services Division | Title: | Emergency Services Manager |
| Phone: | 510-238-3939 | Email: | rdomingo@oaklandnet.com |

INFORMATION SYSTEM SECURITY OFFICER (ISSO)

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| Name: | Ahsan Baig- Project Manager | | |
| Phone: | 510-238-3010 | Email: | abaig@oaklandnet.com |

ROUTING INFORMATION

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| Date submitted to Component Privacy Office: | Not Applicable |
| Date submitted to DHS Privacy Office: | March 3, 2014 |
| Date approved by DHS Privacy Office: | Click here to enter a date. |

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SPECIFIC PTA QUESTIONS

1. Please describe the purpose of the project or program:

Please provide a general description of the project and its purpose in a way a non-technical person could understand.

The City-Port Domain Awareness Center was originally a concept that was conceived and proposed to the City Council's Public Safety Committee on June 18, 2009, as an information report regarding the City of Oakland partnering with the Port of Oakland to apply for Port Security Grant funding under the American Recovery and Reinvestment Act (ARRA), 2009.

Under this grant program, grant funding was available for Maritime Domain Awareness (MDA) projects. The Port and City were encouraged to consider the development of a joint City Port Domain Awareness Center. The Joint DAC would create a center that would bring together the technology, systems and processes that would provide for an effective understanding of anything associated within the City of Oakland boundaries as well as the Oakland maritime operations that could impact the community's, residents' and public safety, critical infrastructure security at the Port of Oakland and other City critical Infrastructure that would also disrupt security, the local economy, and/or the environment.

The original idea and concept which is the continued direction of the Joint Oakland Port Domain Awareness Center (DAC) project was that we would expand and integrate "landside" domain awareness with what had been established in the "maritime" or "waterside" domain for our Police and Fire first responders which also are the first responders for the Port of Oakland.

It was the goal that the increased joint Port City domain awareness, which would combine technology, communications and information on impending or occurring emergency incidents or disasters which would vastly improve readiness to prevent, respond and recover from major emergencies in the Oakland and the Port of Oakland. In a major emergency or disaster, the DAC would provide critical information to the EOC management and responding agencies. This goal continues to be the focus of the DAC project along with leveraging the system's capabilities to enhance day-to-day first responder operations and an added benefit in the future would be to assist with crime fighting efforts, as other cities have done nationally.

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| 2. Project or Program status | | Existing | |
| Date first developed: | March 4, 2013 | Pilot launch date: | October 1, 2014 |
| Date last updated: | March 3, 2014 | Pilot end date: | September 30, 2015 |

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| 3. From whom does the Project or Program collect, maintain, use or disseminate information? <i>Please check all that apply.</i> | <input type="checkbox"/> DHS Employees <input type="checkbox"/> Contractors working on behalf of DHS <input type="checkbox"/> Members of the public <input checked="" type="checkbox"/> This program does not collect any personally identifiable information ¹ |
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| 4. What specific information about individuals could be collected, generated or retained? <i>Please provide a specific description of information that might be collected, generated or retained such as names, addresses, emails, etc.</i> | |
| None | |
| Does the Project or Program use Social Security Numbers (SSNs)? | No |
| If yes, please provide the legal authority for the collection of SSNs: | Click here to enter text. |
| If yes, please describe the uses of the SSNs within the Project or Program: | Click here to enter text. |

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| 5. Does this system employ any of the following technologies: | <input checked="" type="checkbox"/> Closed Circuit Television (CCTV) <input type="checkbox"/> Sharepoint-as-a-Service |
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¹ DHS defines personal information as “Personally Identifiable Information” or PII, which is any information that permits the identity of an individual to be directly or indirectly inferred, including any information that is linked or linkable to that individual, regardless of whether the individual is a U.S. citizen, lawful permanent resident, visitor to the U.S., or employee or contractor to the Department. “Sensitive PII” is PII, which if lost, compromised, or disclosed without authorization, could result in substantial harm, embarrassment, inconvenience, or unfairness to an individual. For the purposes of this PTA, SPII and PII are treated the same.



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| <i>If project or program utilizes any of these technologies, please contact Component Privacy Officer for specialized PTA.</i> | <input type="checkbox"/> Social Media <input checked="" type="checkbox"/> Mobile Application (or GPS) <input checked="" type="checkbox"/> Web portal ² <input type="checkbox"/> None of the above |
| If this project is a technology/system, does it relate solely to infrastructure? <i>For example, is the system a Local Area Network (LAN) or Wide Area Network (WAN)?</i> | <input checked="" type="checkbox"/> No. Please continue to next question. <input type="checkbox"/> Yes. If a log kept of communication traffic, please answer the following question. |
| If header or payload data³ is stored in the communication traffic log, please detail the data elements stored. | |
| The system is a combination of Local and Wide Area Networks. Currently, the communication traffic log is not kept on a separate storage medium. | |

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| 6. Does this project or program connect, receive, or share PII with any other DHS programs or systems⁴? | <input checked="" type="checkbox"/> No. <input type="checkbox"/> Yes. If yes, please list: Click here to enter text. |
| 7. Does this project or program connect, receive, or share PII with any external (non-DHS) partners or systems? | <input checked="" type="checkbox"/> No. <input type="checkbox"/> Yes. If yes, please list: Click here to enter text. |
| Is this external sharing pursuant to new or existing information sharing access agreement (MOU, MOA, LOI, etc.)? | Existing Please describe applicable information sharing governance in place. |

² Informational and collaboration-based portals in operation at DHS and its components which collect, use, maintain, and share limited personally identifiable information (PII) about individuals who are “members” of the portal or who seek to gain access to the portal “potential members.”

³ When data is sent over the Internet, each unit transmitted includes both header information and the actual data being sent. The header identifies the source and destination of the packet, while the actual data is referred to as the payload. Because header information, or overhead data, is only used in the transmission process, it is stripped from the packet when it reaches its destination. Therefore, the payload is the only data received by the destination system.

⁴ PII may be shared, received, or connected to other DHS systems directly, automatically, or by manual processes. Often, these systems are listed as “interconnected systems” in TAFISMA.



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| | MOU/MOA with Port of Oakland |
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PRIVACY THRESHOLD REVIEW

(TO BE COMPLETED BY COMPONENT PRIVACY OFFICE)

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| Component Privacy Office Reviewer: | Click here to enter text. |
| Date submitted to DHS Privacy Office: | January 31, 2014 |
| Component Privacy Office Recommendation: | |
| <i>Please include recommendation below, including what new privacy compliance documentation is needed.</i> | |
| The City of Oakland is developing a Privacy Policy related to Video and Data Retention, as well as, addressing who can operate the system and what the requirements are for overseeing, operating and managing the DAC system. | |

(TO BE COMPLETED BY THE DHS PRIVACY OFFICE)

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| DHS Privacy Office Reviewer: | Click here to enter text. |
| Date approved by DHS Privacy Office: | Click here to enter a date. |
| PCTS Workflow Number: | Click here to enter text. |

DESIGNATION

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| Privacy Sensitive System: | Choose an item. If "no" PTA adjudication is complete. |
| Category of System: | Choose an item. If "other" is selected, please describe: Click here to enter text. |
| Determination: | <input type="checkbox"/> PTA sufficient at this time. <input type="checkbox"/> Privacy compliance documentation determination in progress. <input type="checkbox"/> New information sharing arrangement is required. <input type="checkbox"/> DHS Policy for Computer-Readable Extracts Containing Sensitive PII applies. <input type="checkbox"/> Privacy Act Statement required. <input type="checkbox"/> Privacy Impact Assessment (PIA) required. <input type="checkbox"/> System of Records Notice (SORN) required. |
| PIA: | Choose an item. If covered by existing PIA, please list: Click here to enter text. |
| SORN: | Choose an item. |



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| | If covered by existing SORN, please list: Click here to enter text. |
| DHS Privacy Office Comments: <i>Please describe rationale for privacy compliance determination above.</i> | |
| Click here to enter text. | |